



#11
PB 7/8/03 2872

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Karsten Buse, et. Al.
Application No. : 09/823,173
Filed : March 29, 2001
Title : Tunable Holographic Filter
Group/Division : 2872
Examiner : Fayez G. Assaf
Our Reference : 0007975-0040

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98

RECEIVED
JUL -7 2003
TC 2800 MAIL ROOM

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted after receipt of the first Office Action but before allowance or Final Action for the above-identified application. Consequently, according to 37 C.F.R. §1.97(c) either a fee or statement is required, and Applicant has elected to submit a statement in accordance with 37 C.F.R. §1.97(e)(1).

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

LOSANGELES 105933v1

BEST AVAILABLE COPY

Information Disclosure Statement
Application No. 09/823,173
Page 2

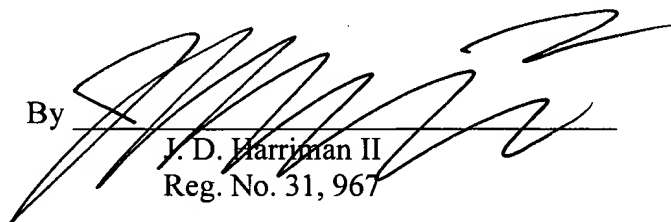
Applicant's undersigned attorney hereby certifies, in accordance with 37 C.F.R. § 1.97(e)(1), that the above information was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

COUDERT BROTHERS

Date: June 30, 2003

By

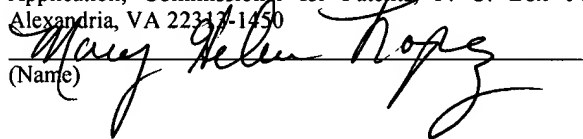


J. D. Harriman II
Reg. No. 31, 967

COUDERT BROTHERS
333 South Hope St., 23rd Floor
Los Angeles, California 90071
(213) 229-2900

Date of Deposit: June 30, 2003

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as First Class Mail under 37 CFR § 1.8 and is addressed to the Mail Stop Patent Application; Commissioner for Patents; P. O. Box 1450; Alexandria, VA 22317-1450


(Name)